

CURRENT STATUS OF WORK IN AUDIT PLAN

AUDIT	STATUS
Corporate & Cross-Cutting	
Annual Governance Statement & Governance Support	Ongoing
Asset Disposals	Fieldwork complete
Asset Management	Deferred (December)
Business Continuity and Emergency Planning	Deferred (April)
Data Quality	To be completed in 2017/18
Document Management	Deferred (December)
Freedom of Information	In progress
Health & Safety (follow-up)	Follow-up complete
Health and Wellbeing Board	Deferred (September)
Information Security Sweeps (September)	Reasonable Assurance
Information Security Sweeps (March)	Draft report issued
Office Security	Substantial Assurance
Overtime	Reasonable Assurance
Performance Management	Deferred (April)
Procurement and Contract Management: Adult Social Services Waste Disposal Contract	Draft report issued Fieldwork complete
Project Management: OPH (risk reporting)	Complete

AUDIT	STATUS
Digital Services Programme (support) Digital Services Programme (initial review) Overall Arrangements (follow-up)	Complete Substantial Assurance Follow-up complete
Risk Management	Draft report issued
Training	Substantial Assurance
Use of Social Media	Fieldwork complete
Ward Committee Budget Decision Making	Reasonable Assurance
Main Financial Systems	
Budget Savings	Cancelled (December)
Cashiers and Income Management: Petty Cash	Substantial Assurance
Council Tax & NNDR	Draft report issued
Council Tax Support and Housing Benefits	In progress
Debtors	Substantial Assurance
Housing Rents	In progress
Main Accounting System	In progress
Ordering and Creditor Payments	Draft report issued
Payroll (assurance work)	In progress
VAT Accounting	High Assurance
York Financial Assistance Scheme	Substantial Assurance
Health, Housing and Adult Social Care	
Better Care Fund (follow-up)	Follow-up complete

AUDIT	STATUS
Deprivation of Liberty Assessments	To be completed in 2017/18
Homeless Establishments	In progress
Housing Repairs	Draft report issued
Management of Travellers' Sites	Draft report issued
Public Health	Draft report issued
Right to Buy	Reasonable Assurance
Safeguarding	Cancelled (May)
Economy and Place	
Fleet Management	Draft report issued
Fees and Charges (replaces Highways Regulation)	Fieldwork complete
Section 106 Agreements (follow-up)	Draft report issued
Bus Pass Usage Claims (addition to plan)	In progress
Children, Education and Communities	
Contributions to Care	To be completed in 2017/18
Free Early Education Funding	Substantial Assurance
School Placement Planning	In progress
Schools: St Paul's Nursery Elvington CE Primary York High School All Saints' RC Secondary School	Substantial Assurance Substantial Assurance High Assurance Substantial Assurance

AUDIT	STATUS
Yearsley Grove Primary School Clifton Green Primary School York High School Dringhouses Primary School St Oswald's CE Primary School Themed audit – Maintained Nurseries	Substantial Assurance Reasonable Assurance High Assurance High Assurance Draft report issued Draft report issued
Customer and Corporate Services	
Blue Badge Scheme	In progress
IT audit – Access to Key Systems	Reasonable Assurance
PCI DSS Compliance	No Opinion Given